

State or Indian tribe, is outlined in the Statement of Project Objectives and the Resilience Project and Subaward/Subcontract Notification Requirement in the ALRD.

B. Applications

i. Application Package

Application forms and instructions are available on the Grant Application Forms and Templates page of the BIL website at [Section 40101\(d\) Formula Grants to States & Indian tribes | netl.doe.gov](#).

ii. Content and Form of Full Application

Applications must conform to the following requirements.

Submission	Components	Format	File Name
Full Application (PDF, unless stated otherwise)	SF-424 Application for Federal Assistance	Form	Applicant Name SF424.pdf
	Program Narrative	Word or PDF	Applicant Name Program Narrative.doc or .pdf
	Environmental Questionnaire	PDF	Applicant Name Env.pdf
	SF-LLL Disclosure of Lobbying Activities	Form	Applicant Name SF-LLL.pdf
	Head of Government Letter or Tribal Council Resolution	PDF	Applicant Name Head of Government.pdf or Applicant Name Tribal Council Resolution.pdf
	Pre-Award Information Sheet	Word	Applicant Name Pre-Award Info.doc
	Cost Match Information	PDF	Applicant Name Cost Match Info.pdf
	Cost Match Commitment Letters, if applicable	PDF	CMCL.pdf
	Authorization from cognizant Contracting Officer for DOE/NNSA FFRDC/NL or non-DOE FFRDC/NL, if applicable	PDF	Use up to 10 letters of the FFRDC/NL name plus FFRDC as the file name (e.g.; anIFFRDC.pdf or lincolnFFRDC.pdf)

Detailed guidance on the content and form of each component is listed below.

1. SF-424: Application for Federal Assistance

Complete all required fields in accordance with the instructions on the form. The list of certifications and assurances in Field 21 can be found at <https://www.energy.gov/management/financial-assistance-forms-and-information-applicants-and-recipients>, under Certifications and Assurances. Save the information in a single file named (APPLICANT NAME SF424.xls or xlsx).

2. Program Narrative

The Program Narrative shall address the following:

1. Objectives and Metrics: List 3-5 provisional objectives that the applicant intends to apply for guiding their resilience investment decisions. The intent of this section of the Program Narrative is to ultimately develop a planning framework for resilience to address all-hazards including future climate implications. ~~The first year, DOE is seeking input on criteria for determining investment decisions.~~ At a minimum, the objectives and metrics should address:
 - a. Resilience and energy justice concerns, including reducing the frequency and duration of outages in disadvantaged communities.
 - b. How the project will use strong labor standards and protections (including for direct employees, contractors, and sub-contractors), such as through the use of project labor agreements, local hire agreements, and outline of a plan to attract, train, and retain an appropriately skilled workforce (i.e., through registered apprenticeships and other joint labor-management training programs that serve all workers, particularly those underrepresented or historically excluded); plans to partner with a training provider (labor, community college, etc.); and the use of an appropriately credentialed workforce (i.e., requirements for appropriate and relevant professional training, certification, and licensure).

List the metrics that will be tracked during project implementation to measure outcomes of stated objectives ~~accompany the objectives to measure outcomes~~ associated with improving resilience, creating good-paying jobs with the free and fair choice to join a union, and advancing energy justice.

~~Indicate whether the objectives and metrics are provisional pending further discussion and consideration by the State or Indian Tribe with its stakeholders. DOE expects that recipients will establish a formal set of objectives and metrics in order to receive FY 2023 formula funding.~~ Examples of objectives and metrics, in addition to reporting on any DOE required metrics, and approaches for establishing them are available at: [Section 40101\(d\) Formula Grants to States & Indian Tribes | netl.doe.gov](#). Applicants will have an opportunity to update the metrics post-award once projects are approved.

2. Criteria: Describe the criteria used for selecting and determining the awards to eligible entities. At a minimum, the criteria should address specific requirements set forth in Section 40101(d), which include that:
 - a. Priority should be given to projects that will generate the greatest community benefit (whether rural or urban) in reducing the likelihood and consequences of disruptive events;
 - b. The percentage of awards made available to eligible entities that sell not more than 4,000,000 megawatt hours of electricity per year should not be less than the percentage of all customers in the State or Indian tribe that are served by those eligible entities; and
 - c. Awards should be provided to eligible entities for projects within the State or on the land of the Indian tribe.
3. Methods: Provide a description of the methods the applicant anticipates using for soliciting, awarding, and distributing funds. These might include several options, including the use of competitive solicitations, direct awards, and the use of financial instruments, such as Green Banks, to leverage the funds through 40101(d).

Provide **also** a description of the methods the applicant anticipates using to track and make public the metrics achieved by awardee uses of program funds to improve resilience by reducing the likelihood and consequences of disruptive events, to generate quality jobs, and to improve equity and community benefits.

4. Funding Distribution: Provide a description of the proposed funding distributions and categories of recipients of the subgrants to be provided to eligible entities. Also, indicate preferences for eligible entities if they do not explicitly appear on the list of eligible entities provided in Section 40101.
5. Equity Approach: To achieve the greatest impact for all Americans with this once-in-a-generation investment in infrastructure, it is critical that the BIL-funded projects not only contribute to the country's energy technology and climate goals, but also (1) support the BIL objectives to invest in America's workforce by including specific elements to accelerate job growth and job quality, including approaches to give workers a free and fair choice to join or form a union; and (2) advance

DOE's equity, environmental and energy justice priorities, including DOE's commitment to the Justice40 Initiative²⁵. Accordingly, the Program Narrative must describe how the State or Indian tribe will ensure their proposed project will incorporate:

- a. Quality Jobs: Strengthening prosperity by expanding good-paying, safe jobs accessible to all workers and supporting job growth through investments in domestic supply chains is a key goal set by President Biden, discussed in depth in his Executive Orders on Ensuring the Future Is Made in All of America by All of America's Workers (EO 14005), Tackling the Climate Crisis at Home and Abroad (EO 14008), Worker Organizing and Empowerment (EO 14025), Boosting Quality of Federal Construction Contracts (EO 14063), Promoting Competition in the American Economy (EO 14036), and Implementing the Infrastructure Investment and Jobs Act (EO 14052). Accordingly, this section of the Program Narrative should address efforts to achieve these goals, including:
 - i. efforts to attract, train, and retain a skilled workforce; and
 - ii. workforce opportunities in communities that have lost jobs due to the displacements of fossil energy jobs.
- b. Community Benefits: Section 40101(d)(5) requires a State or Indian tribe to give priority to projects that would generate the greatest community benefit (whether rural or urban) in reducing the likelihood and consequences of disruptive events. The Program Narrative should include an explanation of how the State or Indian tribe will make such a determination for the projects that will be receiving funding and should include information on how the projects go beyond measures that are already being undertaken through current resilience planning by the State or Indian tribe.
- c. Diversity, Equity, Inclusion and Accessibility (DEIA): DOE strongly encourages efforts to reach historically underserved populations, racial minorities, and women. These strategies should create the connectivity and conditions for growth

²⁵ The Justice40 initiative, created by E.O. 14008, establishes a goal that 40% of the overall benefits of certain federal investments flow to disadvantaged communities. <https://www.whitehouse.gov/wp-content/uploads/2021/07/M-21-28.pdf>

where they may not exist, such as in rural and underserved communities. The Program Narrative should articulate the strategy the State or Indian tribe will use for sharing and maximizing the project's benefits across disadvantaged communities and include a discussion of how resident, worker, and community leadership will be engaged throughout the project's duration.

~~Provide an explanation of how the State or Indian Tribe will make such a determination for the projects that will be receiving funding and should include information on how the projects go beyond measures that are already being undertaken through current resilience planning by the State or Indian Tribe.~~

6. Technical Assistance and Administration: Provide a description of how the State or Indian tribe intends to utilize up to 5 percent of Federal grant funds for project administration and technical assistance.
7. Public Notice and Hearing: Section 40101(d)(2)(B)(ii) requires that eligible applicants give notice and undertake a public hearing to review the criteria and methods they anticipate using to grant awards to eligible entities and the proposed funding distributions and recipients of the grant awards to eligible entities. The applicant should use the public hearing to share the approach envisioned for setting objectives and metrics and the proposed funding distributions and recipients of the grant awards to eligible entities. Provide a brief description of the notice and public hearing process, including the number and types of organizations that attended. Also, report on the outcome of the public hearing such as approaches for engaging stakeholders for establishing formal objectives and metrics and for implementing strategic planning processes. Provide a copy of the notice as an attachment to the Program Narrative.
8. For Tribal Consortium Applications ONLY. Address how resilience objectives will benefit all participating Consortium Tribes and how Consortium Tribes will participate in decisions regarding use of grant funds.

DOE anticipates that the Program Narrative will be between 5 and 15 pages, depending upon the grant amount and complexity of resilience activities. DOE may ~~reject applications and~~ require revisions, if it determines that the program narrative lacks sufficient detail or does not

comply with stated requirements. Save the information in a single file named (APPLICANT NAME PROGRAM NARRATIVE.pdf).

3. Environmental Questionnaire

For the initial application, Applicants will be required to submit an environmental questionnaire(s) (NETL Form 451.1-1/3) for the proposed primary work location(s) for their administrative and technical assistance activities. Save the questionnaire in a single file named “APPLICANT NAME ENV.pdf” (or “APPLICANT NAME ENV-FILL IN TEAM MEMBER.pdf” if more than one questionnaire is submitted).

4. SF-LLL: Disclosure of Lobbying Activities (required)

Prime recipients and subrecipients may not use any federal funds to influence or attempt to influence, directly or indirectly, congressional action on any legislative or appropriation matters.

Prime recipients and subrecipients are required to complete and submit SF-LLL, “Disclosure of Lobbying Activities” to ensure that non-federal funds have not been paid and will not be paid to any person for influencing or attempting to influence any of the following in connection with the application:

- An officer or employee of any federal agency;
- A Member of Congress;
- An officer or employee of Congress; or
- An employee of a Member of Congress.

5. Head of Government Letter or Tribal Council Resolution

Applicants shall provide a “Head of Government Letter”, “Tribal Council Resolution” or other instrument of appointment by the Indian tribe’s legally authorized entity and consistent with the Indian tribe’s customs, documenting their appointment as the sole entity within the State or Indian tribe to apply for, receive, and administer the award as authorized by BIL section 40101(d). Save this information as (APPLICANT NAME HEAD OF GOVERNMENT.pdf or APPLICANT NAME TRIBAL COUNCIL RESOLUTION.pdf).

For Tribal Consortium applications ONLY. The consortium application package must include the following:

- “Tribal Council Resolution” or “Head of Government Letter” from each participating Indian tribe designating the lead Indian tribe to act on its behalf as lead Indian tribe of the Tribal Consortium and receive their allocations;
- “Tribal Council Resolution” or “Head of Government Letter” accepting the designation as the lead Indian tribe for the Tribal Consortium. The letter should state that the lead Indian tribe will apply for grant funding and

administer the grant on behalf of all participating consortium Indian tribes.

6. Pre-Award Information Sheet

Applicants are required to complete the Pre-Award Information Sheet and submit it with their application. Save this information as (APPLICANT NAME PRE-AWARD INFO.pdf).

7. Cost Match Information

Applicants shall provide the following information in support of their required 15% cost match:

- Cost Match Value
- Identify the source/organization of the proposed cost match.
- Type of Cost Match (cash or in-kind)
- Provide a description of their proposed cost match.

Save this information in a single file named APPLICANT NAME COST MATCH INFO.pdf).

8. Cost Match Commitment Letters (if applicable)

A Cost match commitment letter is required from any party (other than the organization submitting the application) proposing to provide all or part of the Applicant's required cost match, including a letter from any Indian tribe participating in a consortium. The letter should state the party is committed to providing a specific minimum dollar amount of cost match, identify the type of proposed cost match (e.g., cash, services, and/or property) to be contributed, and be signed by the person authorized to commit the expenditure of funds by the entity. The applicant should submit the letter(s) in PDF format. Save this information in a single file named "CMCL.pdf"

9. Authorization for DOE/NNSA FFRDC/NLs or non-DOE/NNSA FFRDCs/NLs (if applicable)

The cognizant contracting officer for the DOE/NNSA FFRDC/NL or the non-DOE/NNSA Federal agency sponsoring the FFRDC must authorize in writing the use of the FFRDC on the proposed project, and this authorization, as specified in Section III of the FOA, must be submitted with the application. The use of a FFRDC must be consistent with the contractor's authority under its award. Save this information in a file using up to 10 letters of the FFRDC name plus FFRDC as the file name (e.g., lanIFFRDC.pdf or lincolnFFRDC.pdf).

C. Unique Entity Identifier (UEI) and System for Award Management (SAM)

Each applicant (unless the applicant is an individual or federal awarding agency that is excepted from those requirements under 2 CFR 25.110(b) or (c), or has an exception